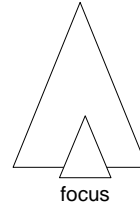


# Part I: Specifying Transformations

Oege de Moor  
Ganesh Sittampalam  
Programming Tools Group, Oxford

## AST rewriting



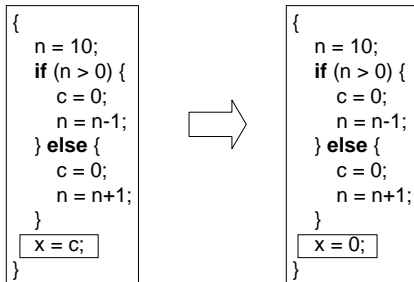
To apply rule:  
rewrite(pat<sub>0</sub>, pat<sub>1</sub>)

- Match:  
focus =  $\phi(\text{pat}_0)$
- Replace:  
focus :=  $\phi(\text{pat}_1)$

*Excellent for transforming declarative programs*

## Imperative object programs

Constant propagation:



## The need for path queries

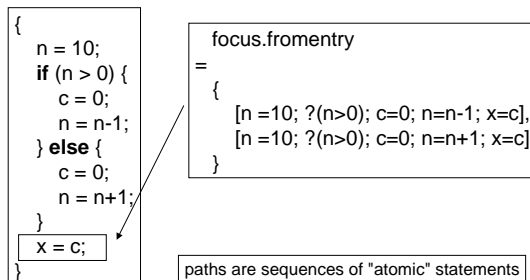
```

rewrite(assign(localvar(X),localvar(V)),
        assign(localvar(X),const(C))) :-
    ``all paths from entry to focus
    guarantee V=C``.
    
```

To apply this rule:

- match:  
focus =  $\phi(\text{assign}(\text{localvar}(X), \text{localvar}(V)))$
- solve path query:  
 $\psi \in \text{all}(\text{focus.fromEntry}, \text{"guarantee V=C"})$
- replace:  
focus :=  $\psi(\phi(\text{assign}(\text{localvar}(X), \text{const}(C))))$

## fromentry



## fromentry, paths, toexit

- fromentry** : all paths from program entry up to including the focus
- paths** : all paths that start and end at focus (e.g. all paths through a loop body)
- toexit** : all paths from (and including) the focus to the program exit

these are *attributes* of each AST node

## Defining paths

paths : defined bottom-up  
 upto : (like *fromentry*, but excluding focus)  
 defined top-down

```

stmt0 ::= while expr stmt1

stmt0.paths = expr.paths ; (stmt1.paths ; expr.paths)*

expr.upto = stmt0.upto ; (expr.paths ; stmt1.paths)*

stmt1.upto = expr.upto ; expr.paths

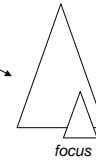
stmt0.fromentry = stmt0.upto ; stmt0.paths
    
```

## Path logic programming

Prolog query with new primitives

interpret with respect to both

**fromentry,  
toexit,  
paths**



to check properties of all elements of the corresponding attributes of the focus

logic program:  
 P(X,Y) :- Q(X), R(X,Y).  
 A(u,v).  
 B(s,t).  
 ....

## Constant propagation (0)

const\_prop(E,F)

:-

baseinstr(E),  
**fromentry**(

{}\*;

{assign\_const(V,C,T)};

{**cnot**(def\_var(V))}\*;

{use\_var\_type(V,T)}

),

subst(C,expr\_type(localvar(V),T),E,F) .

*all paths to focus satisfy this pattern:*

- zero or more statements that don't matter
- an assignment  $V := C$
- zero or more statements that do not redefine  $V$
- a use of  $V$

## Constant Propagation (1)

const\_prop(E,F)

:-

baseinstr(E),

**fromentry**(

{}\*;

{assign\_const(V,C,T)};

{**cnot**(def\_var(V))}\*;

{use\_var\_type(V,T)}

),

subst(C,expr\_type(localvar(V),T),E,F)

**normal Prolog predicate:**

baseinstr(instr\_label(.,.,.))

:- **not**(nonterminal(I)).

baseinstr(condexpr(.,.)).

subst(V,X,X,V).

subst(V,X,assign(L0,R0),assign(L1,R1))

:- **not**(X=L0),  
 subst(V,X,L0,L1),  
 subst(V,X,R0,R1).

... etc ...

## Constant Propagation (2)

const\_prop(E,F)

:-

baseinstr(E),

**fromentry**(

{}\*;

{assign\_const(V,C,T)};

{**cnot**(def\_var(V))}\*;

{use\_var\_type(V,T)}

),

subst(C,expr\_type(localvar(V),T),E,F) .

**ticked predicate** 'P(X)  
 P(X,I) : a property of an instruction I

localvar(expr\_type(localvar(V),T),V,T).

const(expr\_type(const(N),T),N,T).

assign(L,R,T,expr\_type(assign(L,R),T)).

assign\_const(V,C,T,I)

:- assign(L,C,T,I),

localvar(L,V,T),

const(C,\_,T).

use\_var\_type(V,T,I) :- use(expr\_type(localvar(V),T),I).

use(E,I) :- **not**(isassign(I)),occurs(I,E).

use(E,expr(F)) :- assign(\_R,\_,F),occurs(R,E).

use(E,expr(F)) :- assign(L,\_,F),**not**(L=E),occurs(L,E).

## Constant propagation (3)

const\_prop(E,F)

:-

baseinstr(E),

**fromentry**(

{}\*;

{assign\_const(V,C,T)};

{**cnot**(def\_var(V))}\*;

{use\_var\_type(V,T)}

),

subst(C,expr\_type(localvar(V),T),E,F) .

def\_var(V,expr(E)) :-  
 assign(L,\_,E),localvar(L,V,\_.)

**Implementation notes:**

Predicates inside {...} are solved independently: cannot assume any variables to be bound.

Solutions of {...} (substitution sets) are turned into *constraints* (propositional formulae combining equations).

*cnot* denotes *constraint negation*

**cnot**(def\_var(V,"x=3"))  $\equiv V \neq x$

**not**(def\_var(V,"x=3"))  $\equiv \text{false}$

## Common Subexp Elimination

```
x = ack(10,20);
... no changes to x or to ack(10,20) ...
y = 20 * ack(10,20);
```



```
x = ack(10,20);
... no changes to x or to ack(10,20) ...
y = 20 * x;
```

## Common Subexp Elimination

```
cse(I,J)
:-
  baseinstr(I),
  fromentry(
    {}*;
    { 'assign_var(V,Exp,T),pure(Exp),nontriv(Exp),
      not(occurs(Exp,localvar(V)))};
    { cnot('def_var(V)), cnot(delay('somedef(Exp))) }*;
    { 'use(Exp) } ),
  subst(expr_type(localvar(V),T),Exp,I,J).
```

"delay" needed: *somedef(Exp,I)* may give infinite number of *Exp* for fixed *I*

## Dead assignment elimination

```
while (x > 0) {
  x = x-1;
  v = v+1;
}
... no further use of v ...
```

→

```
while (x > 0) {
  x = x-1;
}
...
```

## Dead assignment elimination

```
dead_code(instr_label(Labs,E,Annot),
instr_label(Labs,exp(expr_type(applyatom(nop,nil,void)),Annot))
:-
  not(nonterminal(E)),
  toexit(
    { 'assign_var(V,R,_), pure(R), atnode(N) };
    ( {cnot('use_var(V))} | {atnode(N)} )*;
    ( ε | ( { 'def_var(V),not('use_var(V)) } ; {}* ) ) ).
```

atomic statements have identity

## Unique use propagation

```
x = ack(10,20);
.... no defs of x or
uses of x or
defs of ack(10,20) ...
y = x+1;
.... no uses of x anywhere else ...
```



```
x = ack(10,20);
.... no defs of x or
uses of x or
defs of ack(10,20) ...
y = ack(10,20) + 1;
.... no uses of x anywhere else ...
```

## Unique use propagation

```
unique_prop(I,J)
:-
  baseinstr(I),
  fromentry({}*);
  { 'assign_var(V,Exp,T), pure(Exp),
    not(occurs(Exp,localvar(V)))};
  { cnot('def_var(V)), cnot(delay('somedef(Exp))) }*;
  { atnode(N),uniq_use_var_type(V,T) },
  fromentry( ( {cnot('use_var(V))} | {atnode(N)} ) * ; { } ),
  toexit( { } ; ( {cnot('use_var(V))} | {atnode(N)} ) * ),
  subst(Exp,expr_type(localvar(V),T),I,J).
```

## Strength reduction

```
while (i > 0) {
  n = i * ack(10,20);
  t = t + n;
  i = i + 1;
}
```



```
{
  long c,x;
  c = ack(10,20);
  x = i*c;
  while (i > 0) {
    n = x;
    t = t + n;
    i = i + 1;
    x = x + c;
  }
}
```

## Strength reduction

strengthened(*while*(Cond,Body),seq(Init,*while*(Cond,Body)))

:-

```
paths(Body, { cnot('def_var(l)) cor 'incr(l) }*;
  { 'incr(l) };
  { cnot('def_var(l)) cor 'incr(l) }* ),
paths(Cond, cnot('def_var(l)) ),
```

times(E,I,C), occurs(E,Body), pure(C),

```
paths(Body, {cnot(delay('some_def(C)))}*),
paths(Cond, {cnot(delay('some_def(C)))}*),
```

... construct Init and Body' ...

## Combining rewrite rules

strategy: a way of applying rewrite rules  
*apply* : rule → strategy

```
s0 <+ s1      : choice
s0 ; s1       : sequencing
id              : skip
```

try(s) = s <+ id

exhaustively(s) = try(s;exhaustively(s))

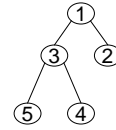
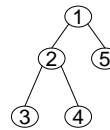
[Visser *et al.*: Stratego]

## Moving the focus

```
below(s) : apply to immediate descendants,
           left-to-right
woleb(s) : same, right-to-left
```

leftmost(s) = s;below(leftmost(s))

rightmost(s) = s;woleb(rightmost(s))



## A complete strategy

incremental : (logprog × strategy) → strategy

incrlil = incremental("optimise.lp", *path logic program defining trafos*)

forward analyses: fromentry + leftmost  
 leftmost(exhaustively(apply( *unique\_prop* ));  
 exhaustively(apply( *const\_prop* )));

backward analyses: toexit + rightmost  
 rightmost(try(apply( *dead\_code* ));  
 leftmost(exhaustively(apply( *cse* ))))

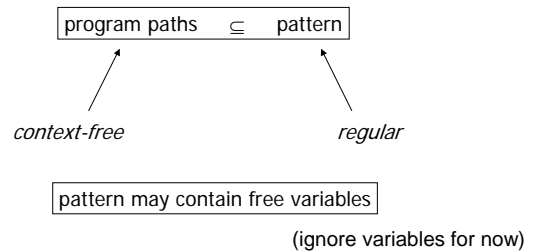
## Summary

- Transformation by rewriting AST
- Individual rules are specified as "path logic programs":
  - fromentry, toexit
  - interpreted relative to logic fact base + focus in AST
- Rules are combined with *strategies*

## Part II: Chip-chop Theory

Conway's "language factors"

## Checking conditions



## Obvious algorithm

Let P be push-down automaton for program traces  
Let S be regular automaton for negation of pattern

Compute product automaton  $P \times S$

If language of product is empty, we have

$prog \subseteq pattern$

## Incremental checking

- re-checking after each rewrite is expensive
- hence aim to re-use intermediary results
- need to check "parts" of a regular expression

## Defining paths (reminder)

paths, fromentry : defined bottom-up  
upto : (like *fromentry*, but excluding focus) defined top-down

$stmt_0 ::= \text{while } expr \text{ } stmt_1$

$stmt_0.paths = expr.paths ; (stmt_1.paths ; expr.paths)^*$

$expr.upto = stmt_0.upto ; (expr.paths ; stmt_1.paths)^*$

$stmt_1.upto = expr.upto ; expr.paths$

$stmt_0.fromentry = stmt_0.upto ; stmt_0.paths$

## Wishful thinking (1)

test prog = prog  $\subseteq$  pat

would like a compositional form (for some  $\oplus, \otimes$ ):

test ( $p_1 + p_2$ ) = test  $p_1 \oplus$  test  $p_2$   
test ( $p_1 ; p_2$ ) = test  $p_1 \otimes$  test  $p_2$

this would allow computation of inclusions while we build up attributes

after each rewrite, recompute (synthesised) *paths* attributes on path to root; recompute (inherited) *fromentry* and *toexit* while walking back down to focus

## Wishful thinking (2)

$$\text{test prog} = \text{prog} \subseteq \text{pat}$$

would like a compositional form (for some  $\oplus, \otimes$ ):

$$\begin{aligned} \text{test}(p_1 + p_2) &= \text{test } p_1 \oplus \text{test } p_2 \\ \text{test}(p_1 ; p_2) &= \text{test } p_1 \otimes \text{test } p_2 \end{aligned}$$

$\oplus, \otimes$  do not exist, need to generalise:

$$\text{tests prog} = \{ (\text{pat}', \text{prog} \subseteq \text{pat}') \mid \text{pat}' \text{ is a "part" of pat} \}$$

but what is the definition of "part"?

## Chip and chop

chip R from front of S

$$\boxed{T \subseteq R \setminus S} \equiv R ; T \subseteq S$$

chop R from back of S

$$\boxed{T \subseteq S / R} \equiv T ; R \subseteq S$$

## Properties of chip and chop

$$\boxed{T \subseteq R \setminus S} \equiv R ; T \subseteq S$$

$$\boxed{T \subseteq S / R} \equiv T ; R \subseteq S$$

prove:

$$\begin{aligned} (R \setminus S) / T &= R \setminus (S / T) \\ R / (S ; T) &= R \setminus (T \setminus S) \end{aligned}$$

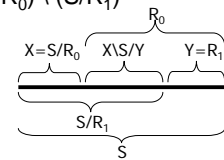
## Chip-chop theory

- S is regular iff there are finitely many chops S/R

- for any S, X and Y:

$$X \setminus S / Y = (S / R_0) \setminus (S / R_1)$$

for some  $R_0, R_1$ .



$X \setminus S / Y$  is a "part" of S

## Theorem

$$\begin{aligned} &U, V \subseteq (S / R_0) \setminus (S / R_1) \\ \text{iff} & \\ \exists R : & \quad U \subseteq (S / R_0) \setminus (S / R) \\ & \quad \wedge \\ & \quad V \subseteq (S / R) \setminus (S / R_1) \end{aligned}$$

## Chip-chop matrix of regular S

indexed by finite set of chops of S

$$\boxed{M(S)_{X,Y} = X \setminus Y}$$

S occurs in  $M(S)$

## Example 1

pattern:  $S = a^* ; b ; c^* ; d$

chops:  $0, a^*, a^*;b;c^*, S, \Sigma^*$

chip-chop matrix:

$$\begin{pmatrix} \Sigma^* & \Sigma^* & \Sigma^* & \Sigma^* & \Sigma^* \\ 0 & a^* & a^*;b;c^* & S & \Sigma^* \\ 0 & 0 & c^* & c^*;d & \Sigma^* \\ 0 & 0 & 0 & \varepsilon & \Sigma^* \\ 0 & 0 & 0 & 0 & \Sigma^* \end{pmatrix}$$

## Example 2

pattern:  $S = (a^*;b + b;b^*;a)^*$

chops:  $0, S;b;b^*, S, (a+b)^*$

chip-chop matrix:

$$\begin{pmatrix} (a+b)^* & (a+b)^* & (a+b)^* & (a+b)^* \\ 0 & (\varepsilon + b^*;a);S;b;b^* + b^* & (\varepsilon+b^*;a);S & (a+b)^* \\ 0 & S;b;b^* & S & (a+b)^* \\ 0 & a^*;b;S;b;b^* & a^*;b;S & (a+b)^* \end{pmatrix}$$

## Matching matrix of pattern S

$$B(R)_{X,Y} = R \subseteq M(S)_{X,Y}$$

$$\begin{aligned} B(R_0 + R_1) &= B(R_0) \wedge B(R_1) \\ B(R_0 ; R_1) &= B(R_0) \times B(R_1) \\ B(R^*) &= B(\varepsilon) \wedge (B(R) \times B(R^*)) \end{aligned}$$

## Complexity

C : number of chops  
 N : size of program  
 D : depth of AST  
 P : number of rewrite steps  
 Q : maximum number of new nodes per rewrite

$$\begin{aligned} \text{time:} & \mathcal{O}(C^4 \cdot (N + P \cdot (Q + D))) \\ \text{space:} & \mathcal{O}(C^2 \cdot N) \end{aligned}$$

## Summary

- Chips and chops are the "parts" of a formal language
- For regular language, finite number of chips and chops
- Arranging these in a matrix reduces the language inclusion problem to simple matrix operations

## Part III: Implementation

- generalise from inclusion problem to free variables
- how to represent constraints

## Free variables

prop = "finite set of atomic propositions"  
 constraints = "equalities, inequalities, and, or"  
 statement = prop  $\rightarrow$  constraints

$p_0 = \{ \text{assign}(X,C), \text{constant}(C) \}$   
 $p_1 = \{ \text{not}(\text{def}(X)) \}$   
 prop =  $\{ p_0, p_1 \}$   
 $(a := 3) \equiv \{ p_0 \rightarrow (X=a \wedge C=3), p_1 \rightarrow (X \neq a) \}$

## Free variables, continued

prop = "finite set of atomic propositions"  
 constraints = "equalities, inequalities, and, or"  
 statement = prop  $\rightarrow$  constraints

program : statement list set

pattern : prop list set

*wish to compute constraint:*

$C(\text{program}, \text{pattern}) =$

$\wedge (x \in \text{program} :$

$\vee (y \in \text{pattern} :$

$\wedge (x \odot y)))$  **pointwise application**

## Example

$p_0 = \{ \text{assign}(X,C), \text{constant}(C) \}$   
 $p_1 = \{ \text{not}(\text{def}(X)) \}$

prop =  $\{ p_0, p_1 \}$

$(a := 3) \equiv \{ p_0 \rightarrow (X=a \wedge C=3), p_1 \rightarrow (X \neq a) \}$   
 $(?c=0) \equiv \{ p_0 \rightarrow \text{false}, p_1 \rightarrow \text{true} \}$

prog :  $a := 3; \text{if}(d=0) \{ b:=0; \} \{ b:=0; a := 2; \}$   
 pat :  $(\text{true}^*; p_0; p_1^*) \mid p_1^*$

$C(\text{prog}, \text{pat}) \equiv (X=b \wedge C=0) \vee (X \neq a \wedge X \neq b)$

## Generalised inclusion

prop = "finite set of atomic propositions"  
 constraints = "equalities, inequalities, and, or"  
 statement = prop  $\rightarrow$  constraints

program : statement list set

pattern : prop list set

*wish to compute constraint:*

$C(\text{program}, \text{pattern}) =$

$\wedge (x \in \text{program} :$

$\vee (y \in \text{pattern} :$

$\wedge (x \odot y)))$   $\text{pat}_1 \subseteq \text{pat}_2 \equiv C(f(\text{pat}_1), \text{pat}_2)$   
 where  
 $f(p)(q) \equiv \text{if}(p=q) \text{ then true else false}$

## A false conjecture

$B(\text{prog})_{X,Y} = C(M(\text{pat})_{X,Y}, \text{prog})$

$B(R_0 + R_1) = B(R_0) \wedge B(R_1)$   
 $B(R_0 ; R_1) = B(R_0) \times B(R_1)$  no!  
 $B(R^*) = B(\epsilon) \wedge (B(R) \times B(R^*))$

*Intuitively, it fails because  
 a statement may match multiple propositions*



## Lifting the pattern

Given  
 pat : prop list set  
 Define  
 pat' : prop set list set  
 by  
 $[xs_0, xs_1, \dots, xs_{n-1}] \in \text{pat}'$   
 $\equiv$   
 $\exists x_i \in xs_i : [x_0, x_1, \dots, x_{n-1}] \in \text{pat}$

Generalised application of statement  $s : \text{prop} \rightarrow \text{constraint}$   
 $s(\{p_0, p_1, \dots, p_{k-1}\}) = s(p_0) \wedge \dots \wedge s(p_{k-1})$

**Theorem:**  $C(\text{pat}, \text{prog}) \equiv C(\text{pat}', \text{prog})$

## Fixing the conjecture

$$B(\text{prog})_{X,Y} = C(M(\text{pat}')_{X,Y}, \text{prog})$$

$$\begin{array}{lcl} B(R_0 + R_1) & = & B(R_0) \wedge B(R_1) \\ B(R_0 ; R_1) & ?= & B(R_0) \times B(R_1) \\ B(R^*) & = & B(\varepsilon) \wedge (B(R) \times B(R^*)) \end{array} \text{yes!}$$

*Proofs rather technical... see draft paper*

## Representing constraints

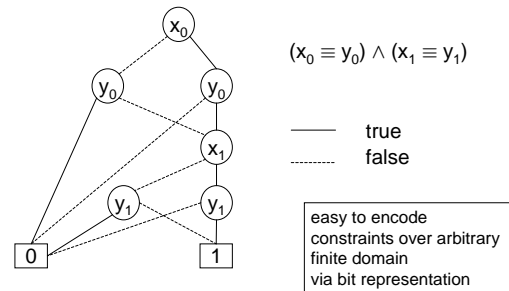
Example:  
 $(X=b \wedge C=0) \vee (X \neq a \wedge X \neq b)$

- Characteristics:
- Boolean combinations of equations (var = val)
  - finite number of variables
  - finite number of values
  - many, many "similar" constraints

Encode constraints via Binary Decision Diagrams

## Binary Decision Diagrams

A representation of propositional formulae



## Finite domains?

```
cse(I,J)
:-
  baseinstr(I),
  fromentry(
    {}*;
    { 'assign_var(V,Exp,T),pure(Exp),nontriv(Exp),
      not(occurs(Exp,localvar(V)))};
    { cnot('def_var(V)),cnot(delay('somedef(Exp)))};
    { 'use(Exp) } ),
  subst(expr_type(localvar(V),T),Exp,I,J).
```

"delay" needed: *somedef(Exp,I)* may give infinite number of *Exp* for fixed *I*

## Finite domains!

$\text{somedef}(\text{Exp}, a = 3) = \text{"Exp contains a"}$

introduce special boolean variable for each meta-variable E and program variable a:

$$E \triangleright a$$

while solving path query:

$$\begin{array}{l} \text{somedef}(\text{Exp}, a = 3) \\ \equiv \\ (\text{Exp} \triangleright a) \end{array}$$

when a transformation is to be applied:

- let C be the constraint for the path query
- for each a, let  $X(a) = \{e_0, e_1, \dots, e_{n-1}\}$  be the expressions that contain e
- let  $C' = C \wedge \bigwedge E, a : (E \triangleright a \equiv (\bigvee e_i : e_i \in X(a) : E=e_i))$
- transformation is applicable for all solutions of C'

## Local variables: example (0)

$p_0 = \{ \text{assign}(X,C), \text{constant}(C) \}$   
 $p_1 = \{ \text{not}(\text{def}(X)) \}$

$\text{prop} = \{ p_0, p_1 \}$

$(a := 3) \equiv \{ p_0 \rightarrow (X=a \wedge C=3), p_1 \rightarrow (X \neq a) \}$   
 $(?c=0) \equiv \{ p_0 \rightarrow \text{false}, p_1 \rightarrow \text{true} \}$

$\text{prog} : a := 3; \text{if}(d==0) \{ b:=0; \} \{ b:=0; a:=2; \}$   
 $\text{pat} : (\text{true}^*; p_0; p_1^*) \mid p_1^*$

$C(\text{prog}, \text{pat}) \equiv (X=b \wedge C=0) \vee (X \neq a \wedge X \neq b)$

what to do when b is no longer in scope?

## Local variables: example (1)

$\text{prog} : \{ \text{int } b; a := 3; \text{if}(d==0) \{ b:=0; \} \{ b:=0; a:=2; \} \}$

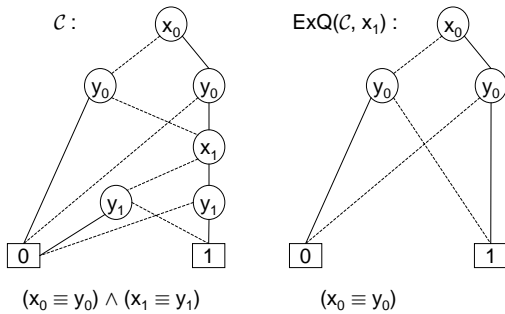
$C(\text{prog}, \text{pat}) \equiv (X=b \wedge C=0) \vee (X \neq a \wedge X \neq b)$

remove constraints that "refer to b" from BDD

How do we implement these BDD transformations?

$(X=b) := \text{false}$   
 $(X \neq b) := \text{true}$

## Hiding BDD variables (0)



## Hiding BDD variables (1)

$\text{ExQ}(X, C)$   
 $\equiv$   
 $C[0/X] \vee C[1/X]$

For finite domains:

For any substitution  $\phi$   
 such that  $\phi(C)$

we have for any  $v$

$(\phi \oplus (X \rightarrow v)) (\text{ExQ}(X, C))$

## Scoping and BDDs

Introduce special value \*  
 that occurs nowhere in program

\* signifies "any variable not explicitly mentioned in  
 the code fragment"

Let b be a local variable.  
 To remove bindings of the form  $(X=b)$  from  $C$ :

$C' \equiv \text{if } X=b \text{ then } \text{ExQ}(X, C \wedge X = *) \text{ else } C$

(similar for  $E \triangleright b$ )

## Interprocedural analysis

Compute matching matrix  $B(\text{Pb})$  for each  
 procedure body  $\text{Pb}$

value parameter f:  
 call  $P(a)$   
 is analysed as  
 $\{ \text{var } f; f := a; \text{Pb} \}$

result parameter f :  
 $\{ \text{var } f; \text{Pb}; a := f \}$

matching matrix  $B(\text{Pb})$  is all we need to summarise a procedure

## Summary

- Chip-chop algorithm with constraints in lieu of Booleans
- Represent constraints with BDDs
- Use ExQ operation to remove local variables from constraints
- An interprocedural analysis directly generated from the specifications

## Research problems

- fast algorithms for computing chips and chops
- compare our interprocedural analyses with traditional methods
- generate fast specialised algorithms from path queries